

# Modification to one of the Charlie Problems

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**Purpose** The purpose of this article is to show why one of the conjectures given on the Open Problems List fails, and to give a possible reformulation of the problem that may be true.

**Introduction** We fix a family of norms  $\{|\cdot|_x\}_{x \in \mathbb{R}^2}$  on the 2-jet space, that is they are norms on polynomials of degree 2 over  $\mathbb{R}^2$ . We assume the norms satisfy the so-called "Bounded Distortion", and "Approximate Translation Invariance" properties. These norms generate a norm on  $C^2(\mathbb{R}^2)$  in the usual manner; we denote this norm by  $\|\cdot\|_{C^2(\mathbb{R}^2)}$ . The conjecture on the open problem list is the following:

**Conjecture 0.1.** *Let  $I = \{(x, 0) : -1.5 \leq x \leq 1.5\} \subset \mathbb{R}^2$ , and  $Q = [-1, 1]^2$ . Also suppose that we are given a set of polynomials  $\{P^z\}_{z \in I}$  satisfying  $|P^z|_z \leq 1, \forall z \in I$ . Also suppose that there exists  $F_0 \in C^2(Q)$  such that  $J_z(F_0) = P^z, \forall z \in I$ . Then given  $\epsilon > 0$ , there exists  $F \in C^2(Q)$  satisfying:*

1.  $J_z(F) = P^z, \forall z \in I$ .
2.  $\|F\|_{C^2(Q)} \leq 1 + \epsilon$ .

The conjecture is false just because it fails along every vertical line. Pick the 2-jet space norm which is the maximum of all the partial derivatives of order  $\leq 2$ . Parametrize  $\mathbb{R}^2$  by  $(x, y)$ . Suppose for example that  $P^{(0,0)} = 1 + y$ . Assume that there exists an extension of  $\{P^z\}_{z \in I}$  to all of  $Q$  with norm  $\leq 2$ ; call this extension  $F$ . We know that  $|\partial_{yy}F|$  is bounded by 2 on the  $y$ -axis. Therefore since  $\partial_y F(0, 0) = 1$ , we see that  $\partial_y F(0, t) \geq 0.5, \forall t \in [-0.25, 0.25]$  (From the mean value theorem). By similar reasoning we see that  $F(0, 0.25) \geq 1.125$ , and therefore any extension has norm larger than some fixed constant, even though  $|P^z|_z \leq 1, \forall z \in I$ .

**Remark 0.2.** *The reason that the conjecture is false is because the family of norms are not "natural", to be made precise in the following sense:*

**Definition 0.3.** *A family of norms  $\{|\cdot|_x\}_{x \in \mathbb{R}^n}$  on the  $m$ -jet space is "natural" if the following holds  $\forall x \in \mathbb{R}^n$ , and for all polynomials  $P$  of degree  $\leq m$ :*

$$|P|_x = \inf\{\|F\|_{C^m(\mathbb{R}^n)} : J_x F = P\} \quad (1)$$

The following is due to Charlie Fefferman:

Given a family of norms on the  $m$ -jet space:  $\{|\cdot|_x\}_{x \in \mathbb{R}^n}$  satisfying the Bounded Distortion and Approximate Translation Invariance properties. Then we may define a new family of norms as follows:

$$|P|'_x = \inf\{\|F\|_{C^m(\mathbb{R}^n)} : J_x F = P\}, \forall x \in \mathbb{R}^n \quad (2)$$

**Remark 0.4.** *The  $m$ -jet space norms defined by (2) are natural. The reasoning goes as follows:*

*Given a Polynomial  $P$  of degree  $\leq m$ , and given  $x \in \mathbb{R}^n$ , we must show that:*

$$|P|'_x = \inf\{\|F\|'_{C^m(\mathbb{R}^n)} : J_x F = P\}, \forall x \in \mathbb{R}^n. \quad (3)$$

*Where  $\|F\|'_{C^m(\mathbb{R}^n)} := \sup_{x \in \mathbb{R}^n} |J_x F|'_x$ .*

*We note the following:*

$$\|F\|'_{C^m(\mathbb{R}^n)} = \sup_{x \in \mathbb{R}^n} |J_x F|'_x \leq \|F\|_{C^m(\mathbb{R}^n)}$$

*The second inequality follows directly from (2).*

*Now implied by the preceding remarks is the following*

$$|P|'_x = \inf\{\|F\|_{C^m(\mathbb{R}^n)} : J_x F = P\} \geq \inf\{\|F\|'_{C^m(\mathbb{R}^n)} : J_x F = P\}$$

*Note that this is one direction of the equality we wish to prove, and the other direction is trivial. Thus we have shown that the norms  $\{|\cdot|'_x\}$  are "natural"  $m$ -jet norms.*

With these remarks we can now state a reformulation of (0.1) that takes into account this "natural" condition:

**Conjecture 0.5.** *Let  $I = \{(x, 0) : -1.5 \leq x \leq 1.5\} \subset \mathbb{R}^2$ , and  $Q = [-1, 1]^2$ . Also suppose that we are given a set of polynomials  $\{P^z\}_{z \in I}$  satisfying  $\inf\{\|F\|_{C^2(\mathbb{R}^2)} : J_z F = P^z\} \leq 1, \forall z \in I$ . Also suppose that there exists  $F_0 \in C^2(Q)$  such that  $J_z(F_0) = P^z, \forall z \in I$ . Then given  $\epsilon > 0$ , there exists  $F \in C^2(Q)$  satisfying:*

1.  $J_z(F) = P^z, \forall z \in I$ .
2.  $\|F\|_{C^2(Q)} \leq 1 + \epsilon$ .

Note that this conjecture is essentially stating that for this specific setup we have a finiteness number  $k_\epsilon^\# = 1, \forall \epsilon > 0$ . Also note that the following condition is probably too strong:

$$\inf\{\|F\|_{C^2(\mathbb{R}^2)} : J_z F = P^z\} \leq 1$$

And should be replaced with something like:

$$\inf\{\|F\|_{C^2([-2,2]^2)} : J_z F = P^z\} \leq 1$$